

09/26/00
JC927 U.S. PTO

9-27-00

A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Richard M. Shupak et al.

Title: INFORMATION LOCATION SERVICE

Attorney Docket No.: 777.345US1

JC882 U.S. PTO
09/670073
09/26/00

PATENT APPLICATION TRANSMITTAL

BOX PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

We are transmitting herewith the following attached items and information (as indicated with an "X"):

- X Return postcard.
X Utility Patent Application under 37 CFR § 1.53(b) comprising:
X Specification (31 pgs, including claims numbered 1 through 63 and a 1 page Abstract).
X Formal Drawing(s) (4 sheets).
X Unsigned Combined Declaration and Power of Attorney (4 pgs).

The filing fee (NOT ENCLOSED) will be calculated as follows:

	No. Filed	No. Extra	Rate	Fee
TOTAL CLAIMS	63 - 20 =	43	x 18 =	\$774.00
INDEPENDENT CLAIMS	10 - 3 =	7	x 78 =	\$546.00
[] MULTIPLE DEPENDENT CLAIMS PRESENTED				\$0.00
BASIC FEE				\$690.00
TOTAL				\$2,010.00

THE FILING FEE WILL BE PAID UPON RECEIPT OF THE NOTICE TO FILE MISSING PARTS.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

Customer Number 21186

By: Edward J. Brooks, III
Atty: Edward J. Brooks, III
Reg. No. 40,925

"Express Mail" mailing label number: EL709305675US

Date of Deposit: September 26, 2000

This paper or fee is being deposited on the date indicated above with the United States Postal Service pursuant to 37 CFR 1.10, and is addressed to the Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Information Location Service

FIELD OF THE INVENTION

This invention relates generally to information systems and more particularly to methods and systems for locating information associated with an executable file.

COPYRIGHT NOTICE/PERMISSION

A portion of the disclosure of this patent document contains material that is subject to copyright protection. The copyright client has no objection to the facsimile reproduction by anyone of the patent document or the patent disclosure as it appears in the Patent and Trademark Office patent file or records, but otherwise reserves all copyright rights whatsoever. The following notice applies to the software and data as described below and in the drawing hereto: Copyright © 2000, Microsoft Corporation, All Rights Reserved.

BACKGROUND

While software is being developed and after it is deployed, it is often important to have access to information related to the executable software that is not stored as part of the executable. This includes, but is not limited to, debug information produced by the development tools like compilers and linkers, the source code from which the executable is generated, instructions for tools that process the executable in some way, patches to transform an executable to another version, and the output of tools that get made available for use by other tools.

For groups developing or deploying software, getting access to this information can be difficult or time consuming. Every time the software is updated, the associated information is usually updated and the updates made available. In a development environment, this can be as often as several times a day. Some common mechanisms used to handle this today are to copy the information to the computer where the software is installed and rely on default search mechanisms for

tools that need it to find this information, to set environment variables to point to a location on the local computer or a network server where the information resides and to update these environment variables whenever the software is updated, to patch the executable files that comprise the software to point to the location where the information resides, or for tools to assume that the information can be found locally and to prompt the user to enter the correct location when the information cannot be found. All of these require either extra steps to be taken whenever software is updated or for some individual to have knowledge where to find the information that is needed.

10 For software deployment scenarios in particular, though this can also apply to development and other uses, all the information is not needed all the time so requiring the information to be copied locally is unnecessary. It is also impractical in many cases. For example, for Windows 2000 the symbolic debug information for all the executables is in excess of three gigabytes. It is not necessary to have all this information available all the time just so the fraction that is needed can be available when it is needed.

Updating environment variables every time the software is updated is time consuming and error prone. The more distinct software packages installed, the more effort involved and the greater the likelihood of error.

20 As new types of information are introduced, any scripts and/or processes to update the client systems to locate information need to be updated to include the new types further increasing the cost of keeping the client system updated.

For the reasons stated above and for other reasons which will become apparent from reading and studying the present disclosure, systems and methods are needed which extend the mechanism for locating solution access information, e.g. information related to an executable, including more accurately pinpointing the solution access information, and then obtaining and implementing the correct solution for updating software programs.

SUMMARY

This present invention extends the mechanism for locating solution access information and then obtaining and implementing the correct solution for updating software programs. The user can communicate with a server, tell it what the user is
5 interested in, and then the server replies on a file by file basis where to locate the desired information. Thus, the user no longer has to register, e.g. in the environment variables, the individual paths for where a multitude of different applications find their additional related information on the network. According to the teachings of the present invention, a user will have to make basically zero
10 changes to the system, and instead will automatically discover the name location of a server that is going to provide the user with the information associated with any user executable file.

In particular, one embodiment of the present invention includes a computer implemented method. The method includes a method for locating information
15 associated with a local file. The method includes packaging metadata extracted from the local file into an HTTP request. The method further includes sending the HTTP request to a set of locator servers containing location information for information associated with the local file. The method further includes receiving a set of information back from the set of locator servers. An HTTP query is then
20 packaged for retrieving the information associated with the local file based on the set of information received back from the set of locator servers.

In another embodiment of the present invention, a server architecture is provided. The architecture includes a first server, or symbol locator server. According to the teachings of the present invention, the first server includes means
25 for interpreting metadata associated with a local file received from a remote client. The first server further includes means for redirecting the remote client to a second server containing information associated with the executable file. The second server is adapted for interpreting a query from the remote client and retrieving a specific file from among the information associated with the local file.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a block diagram of the hardware and operating environment of a suitable computer in conjunction with which embodiments of the invention may be practiced.

Figure 2 is a block diagram of a computerized system according to the teachings of the present invention.

Figure 3 illustrates, in flow diagram form, a method embodiment according to the teachings of the present invention.

Figure 4 illustrates, in flow diagram form, another method embodiment according to the teachings of the present invention.

DETAILED DESCRIPTION

In the following detailed description of exemplary embodiments of the invention, reference is made to the accompanying drawings that form a part hereof and, which show by way of illustration, specific exemplary embodiments in which the invention may be practiced. These embodiments are described in sufficient detail to enable those skilled in the art to practice the invention. It is to be understood that other embodiments may be utilized and that logical, mechanical, electrical and other changes may be made without departing from the spirit or scope of the present invention. The following detailed description is, therefore, not to be taken in a limiting sense, and the scope of the present invention is defined only by the appended claims.

The detailed description is divided into three sections. The first section describes the hardware and the operating environment that is suitable for use as a server within the inventive storage system described below. The second section provides a detailed description of the novel workflow process system and provides methods for operating embodiment of the invention. Finally, the third section provides a conclusion of the detailed description.

Hardware and Operating Environment

Figure 1 provides a brief, general description of a suitable computing environment in which the invention may be implemented. The invention will hereinafter be described in the general context of computer-executable program modules containing instructions executed by a personal computer (PC). Program modules include routines, programs, objects, components, data structures, etc. that perform particular tasks or implement particular abstract data types. Those skilled in the art will appreciate that the invention may be practiced with other computer-system configurations, including hand-held devices, multiprocessor systems, microprocessor-based programmable consumer electronics, network PCs, minicomputers, mainframe computers, and the like which have multimedia capabilities. The invention may also be practiced in distributed computing environments where tasks are performed by remote processing devices linked through a communications network. In a distributed computing environment, program modules may be located in both local and remote memory storage devices.

Figure 1 shows a general-purpose computing device in the form of a conventional personal computer 20, which includes processing unit 21, system memory 22, and system bus 23 that couples the system memory and other system components to processing unit 21. System bus 23 may be any of several types, including a memory bus or memory controller, a peripheral bus, and a local bus, and may use any of a variety of bus structures. System memory 22 includes read-only memory (ROM) 24 and random-access memory (RAM) 25. A basic input/output system (BIOS) 26, stored in ROM 24, contains the basic routines that transfer information between components of personal computer 20. BIOS 26 also contains start-up routines for the system. Personal computer 20 further includes hard disk drive 27 for reading from and writing to a hard disk (not shown), magnetic disk drive 28 for reading from and writing to a removable magnetic disk 29, and optical disk drive 30 for reading from and writing to a removable optical disk 31 such as a

CD-ROM or other optical medium. Hard disk drive 27, magnetic disk drive 28, and optical disk drive 30 are connected to system bus 23 by a hard-disk drive interface 32, a magnetic-disk drive interface 33, and an optical-drive interface 34, respectively. The drives and their associated computer-readable media provide nonvolatile storage of computer-readable instructions, data structures, program modules and other data for personal computer 20. Although the exemplary environment described herein employs a hard disk, a removable magnetic disk 29 and a removable optical disk 31, those skilled in the art will appreciate that other types of computer-readable media which can store data accessible by a computer may also be used in the exemplary operating environment. Such media may include magnetic cassettes, flash-memory cards, digital versatile disks, Bernoulli cartridges, RAMs, ROMs, and the like.

Program modules may be stored on the hard disk, magnetic disk 29, optical disk 31, ROM 24 and RAM 25. Program modules may include operating system 35, one or more application programs 36, other program modules 37, and program data 38. A user may enter commands and information into personal computer 20 through input devices such as a keyboard 40 and a pointing device 42. Other input devices (not shown) may include a microphone, joystick, game pad, satellite dish, scanner, or the like. These and other input devices are often connected to the processing unit 21 through a serial-port interface 46 coupled to system bus 23; but they may be connected through other interfaces not shown in Figure 1, such as a parallel port, a game port, or a universal serial bus (USB). A monitor 47 or other display device also connects to system bus 23 via an interface such as a video adapter 48. In addition to the monitor, personal computers typically include other peripheral output devices (not shown) such as speakers and printers. In one embodiment, one or more speakers 57 or other audio output transducers are driven by sound adapter 56 connected to system bus 23.

Personal computer 20 may operate in a networked environment using logical connections to one or more remote computers such as remote computer 49. Remote

computer 49 may be another personal computer, a server, a router, a network PC, a peer device, or other common network node. It typically includes many or all of the components described above in connection with personal computer 20; however, only a storage device 50 is illustrated in Figure 1. The logical connections depicted in Figure 1 include local-area network (LAN) 51 and a wide-area network (WAN) 52. Such networking environments are commonplace in offices, enterprise-wide computer networks, intranets and the Internet.

When placed in a LAN networking environment, PC 20 connects to local network 51 through a network interface or adapter 53. When used in a WAN networking environment such as the Internet, PC 20 typically includes modem 54 or other means for establishing communications over network 52. Modem 54 may be internal or external to PC 20, and connects to system bus 23 via serial-port interface 46. In a networked environment, program modules, such as those comprising Microsoft® Word which are depicted as residing within 20 or portions thereof may be stored in remote storage device 50. Of course, the network connections shown are illustrative, and other means of establishing a communications link between the computers may be substituted.

Software may be designed using many different methods, including object oriented programming methods. C++ and Java are two examples of common object oriented computer programming languages that provide functionality associated with object oriented programming. Object oriented programming methods provide a means to encapsulate data members (variables) and member functions (methods) that operate on that data into a single entity called a class. Object oriented programming methods also provide a means to create new classes based on existing classes.

An object is an instance of a class. The data members of an object are attributes that are stored inside the computer memory, and the methods are executable computer code that act upon this data, along with potentially providing

other services. The notion of an object is exploited in the present invention in that certain aspects of the invention are implemented as objects in one embodiment.

An interface is a group of related functions that are organized into a named unit. Each interface may be uniquely identified by some identifier. Interfaces have
5 no instantiation, that is, an interface is a definition only without the executable code needed to implement the methods which are specified by the interface. An object may support an interface by providing executable code for the methods specified by the interface. The executable code supplied by the object must comply with the definitions specified by the interface. The object may also provide additional
10 methods. Those skilled in the art will recognize that interfaces are not limited to use in or by an object oriented programming environment.

Operation Overview

This invention extends the search mechanism for locating solution access
15 information associated with an executable file, beyond the traditional methods presented in the background. The present invention can be used cumulatively such that when one of the traditional search mechanisms fails, the system of the present invention is employed. In the present invention, information, or metadata, is extracted from an original file, e.g. a local executable file, is packaged into an
20 HyperText Transfer Protocol (HTTP) request and sent to a server, e.g. locator server. The server uses the information sent to it to lookup the location of the desired solution access information and responds to a client with the information it has. The terms "client" and "server" are not meant to imply any particular hardware configuration for the system, a client and a server may execute as a system on a
25 single CPU architecture or a multiple CPU architecture. Alternatively the client and server functions can be distributed among several systems communicatively couple together.

The server can respond with the location of the solution access information or could return the information directly. In the case where the server responds with

an HTTP redirect and the location given is a file; the uniform resource locator (URL) operation maps similar to the behavior for the traditional search mechanisms. That is, the file location can be treated just as if it was found during the local search process. For other forms of redirection or where the solution access information file
5 is returned by the server, the file can be saved to a local file path and then treated like the traditional search mechanisms above, or the solution access information can be read directly by a client from the server.

Using an HTTP server for lookup is not limited to a single server. As with the local, traditional search mechanisms, a list of servers can be configured and each
10 tried in turn or in parallel. Also, as with the local, traditional search mechanisms, the HTTP server path needs to be known in order to make the HTTP request. The HTTP server path can be hard coded in the search logic or found by querying environment variables, registry locations, or other local configuration information. According to the teachings of the present invention, there are several ways in which
15 the location of the HTTP servers can be found. These approaches are as follows. The servers could be queried from a Dynamic Host Configuration Protocol (DHCP) server that resides on the network containing the client system . The HTTP request to the DHCP finds the Uniform Resource Indexes (URIs) necessary to query the appropriate server containing the information associated with an executable. A
20 Domain Name Search (DNS) server could be queried for a service (SRV) record identifying the appropriate server containing the information associated with an executable. A directory service such as the Windows 2000 Active Directory could be queried to return the appropriate server containing the information associated with an executable. Also, according to the teachings of the present invention, other
25 types of servers including but not limited to Application Configuration Access Protocol (ACAP) servers, and/or Lightweight Directory Access Protocol (LDAP) servers can be used. Accordingly, querying the look-up servers for the location of the appropriate server containing the information associated with an executable,

includes using these additional protocols for LDAP and ACAP network database queries.

In one embodiment according to the teachings of the present invention, the implementation of a symbol server works as follows. A feature set is provided that enables debuggers to automatically retrieve the correct symbol file with no prior information about product name and release or build number. In this embodiment of the invention, a package is provided which contains a tool to build a repository of symbols. Using symbol server technology, the debuggers can locate symbol files automatically, finding each file according to a set of unique parameters that are independent of the product name and release or build number. This system can be used to debug any product that uses symbolic information as generated by the VC compilers, regardless of it's source.

According to the teachings of the present invention, the server DLL is the code that should communicate with *dbghelp* to find the correct symbols. When the debugger or similar tool needs to analyze a program module, it gets these symbols by loading one or two files that contain the symbols for the module. The module may be a disk-based file or memory-image of the file. Since the module could be one of many different versions of the same module, the technology needs a mechanism to quickly find the correct program module. Every time *dbghelp* tries to load symbols for a newly loaded module, it will call the symbol server DLL with a certain set of variables to help the server to find the appropriate files. The module contains a header that, in turn, contains information that helps to run the module. According to the present invention, some of this information is used to create a unique identifier for the module that won't be replicated between differing versions of the module. Different values from the image header will be used depending upon the type of symbolic information file which is being searched.

The symbol server is engaged by adding an entry to the value in the _NT_SYMBOL_PATH or _NT_ALTERNATE_SYMBOL_PATH environment variables. The value is added between semicolons just as any other path might be

added, or conversely it could take up the entire variable, if it is wished that only the server be used for the location of symbols. Furthermore, multiple entries can be added that indicate the server look in multiple locations. These entries can be placed in any order within the symbol path, allowing the debugger to first look in
 5 some path location, and then check a symbol server, or whatever order is desired. The syntax for server entry in these variables is as follows.

SYMSRV*FOO.DLL*DATA. Two asterisks are used to parse the parameters. Trailing asterisks are ignored and passed to the server DLL as part of the last node. SYMSRV is a literal test string that indicates to *dbghelp* to call a symbol server.

10 FOO.DLL is the name of the server DLL to load. DATA is server-specific information that tells the server where or how to look for symbols. It will be passed to the DLL when called. The symbol server can be installed through an installation that copies the required DLL, sets the environment values, and whatever else it requires, or it might be installed by the user from instructions in a text file.

15 If *dbghelp* is looking for a DBG file, a first variable, or parameter, will contain the TimeDateStamp of the original image as found in its PE header. A second variable, or parameter, will contain the SizeOfImage field, also extracted from the PE header. A third variable, or parameter, is unused and will be zero.

If *dbghelp* is looking for a PDB file, a first parameter will contain the PDB
 20 signature as found in the codeview debug directory of the original image. A second parameter will contain the PDB age. And, a third will contain zero. For example, if searching for a .pdb file, the Signature and Age values are extracted from the module header and appended into a single numeric value, e.g. if the signature is 4747474747 and the age is 1, the numeric value is 47474747471. A unique file path
 25 is then created consisting of the name of the symbol file and the numeric value. The server technology has already stored this file in the file path that matches this text, so it simply returns to the debugger the created file path with the root of the symbol store data pre-pended. The file will be in said location, e.g.

<\\SymSrv\\Root\\filename.pdb\\47474747471\\filename.pdb>. What is nice about this

method is that there is no need to search every version of the symbol file in question to find the matching one. This is because the corresponding image contains the information to re-create the file path to the symbol file. Therefore, the file is found and opened with a single call to the file system.

5 Analogously, if *dbghelp* is looking for any other type of image, such as an actual executable file, it is probably being called through it's FindFileInSearchPath() API. In this case, the parameters are opaque to *dbghelp*. However, if this API is being used to retrieve an executable file, it is expected that the parameters will be filled in as for a DBG file, using timdatestamp and size of
10 image as parameters.

If the server locates a valid symbol file, it is returned TRUE, otherwise it is to return FALSE and set the LastError value to indicate why the symbol file was not returned. This same path creation mechanism is also used for ftp, http, and https based symbol stores. The only difference is that the root of the path is used as the
15 internet entity to open and the rest of the generated path is passed in.

One of ordinary skill in the art will further understand upon reading this disclosure, that the same inventive implementation methodology is equally applicable in handling minidumps. A minidump is a file that contains enough information to re-create the memory state of a machine at the time the minidump
20 snapshot was taken. Instead of storing the complete module images as they exist in the machine being snapshot, just enough information is stored about each module to call up a copy of the original image from a symbol store, using the symbol server technology. However, it should be apparent that once the copy of the image is loaded from the symbol store, its novel header can be read as above to obtain the
25 values for loading the symbol files.

Another embodiment of the present invention extends what has been described above. In this embodiment of the present invention, most of the "smarts" are placed on a novel symbol location server. In this embodiment, a user can send a query to the server containing metadata on what the user is trying to locate. In this

embodiment, there is no limit to the amount of information that can be submitted with the query. The user is not required to know a specific subset of information such as signature and age. This embodiment does not require a known set of environment variables. Moreover, other information can similarly be used to qualify the information being searched. For example, various queries can be constructed to tell the server to look for a particular signature but ignore a certain path or ignore a particular time stamp. Analogously, the query can instruct the server to look for a particular time stamp but ignore a certain signature. One benefit of this approach is signatures may not be unique on any given day and likewise there are some instances in which a time stamp in an executable must change. Thus, in this embodiment, the user is given the ability to custom tailor a query and then allow the novel symbol server to apply its logic to determine exactly which unique file is being requested.

Figure 2 illustrates the novel computerized system 200, or server architecture, for locating information relating to an executable. As shown in Figure 2, the system 200 includes a client , computer, or user 202 which is couple to a first server 204 and a second server 206. As explained above, the terms “client” and “server” are not meant to imply any particular hardware configuration for the system, a client and a server may execute as a system on a single CPU architecture or a multiple CPU architecture. Alternatively the client and server functions can be distributed among several systems communicatively couple together. Also, as one of ordinary skill in the art will understand upon reading this disclosure, the first server 204 may be part of a larger cluster, or first set of servers 204. Likewise, the second server may be part of a larger cluster, or second set of servers 206.

The first server 204 includes the novel symbol location server according to the teachings of the present invention. This symbol location server 204 contains location information for information associated with a local file. According to the teachings of the present invention, this location information on the first server 204 can be accessed according to the methods presented and described in more detail

above. The second server 206 can contain the information which is sought after associated with the local file. Computer 202 has a number of local files resident on its system memory. By way of illustration, and not by way of limitation, the local file is defined to include an executable file. Also then by way of illustration, and not by way of limitation, the information associated with the local file, or executable, is defined to include a debug (.dbg) file and a program database (.pdb) file. The same is further defined to include another executable (.exe) file related to the local executable file. The information is further defined to include regression analysis data, performance analysis data, and source code data all associated with the local executable file, the same is not so limited. When the debugger *dbghelp* or similar tool needs to analyze a module, it gets these symbols by loading one or more files that contain the symbols for the module. As described above, the module contains a header which can contains a unique identifier for the module that won't be replicated between differing versions of the module. According to the teachings of the present invention, different values from the image header can be used to query the first server 204. Alternatively, the user can create a custom tailored query and submit the same to the first server 204. The location information contained on the first server 204 is the location information for the second server 206. In this embodiment the second server contains the information being sought. The first server 204 provides a set of information on the second server 206 to the client 202, or computer 202. In one embodiment, the location information and the information itself are on the same server, e.g. first server 204.

In the first embodiment of the present invention, the set of information provided to the computer 202 by the first server 204 includes the location information for the second server 206. The location information can be used by the computer 202 to query the second server 206 for the information associated with the local file, e.g. executable file. In the second embodiment, the set of information provided to the computer 202 by the first server 204 includes the actual information associated with the local file. In still an alternative embodiment, the first server 204

locates the information associated with the local file and provides it directly from the second server 206 to the computer 202. The computer 202 can read the information associated with the local file directly from the second server 206 or the computer 202 can receive the information associated with the local file as a set of
5 files containing the information associated with the executable which can be stored in a system memory, as the same are know and understood from Figure 1.

According to the teachings of the present invention, the first server 204 is a locator server 204. The first server 204 of the present invention, includes a first
10 server 204 selected from the group consisting of an HTTP server, e.g. a DHCP server or DNS server, an ACAP server, and a LDAP server. In the embodiment in which the first server 204 is part of a larger cluster or first set of servers, the computer 202 can be configured to query a number of different tiers or multiple levels in a hierarchy of first servers 204 in a defined serial order. In another variant of this embodiment, the computer can be configured to query the number of
15 different tiers or multiple levels of a first set of servers 204 in parallel.

Figure 3 illustrates, in flow diagram form, a computer implemented method according to the teachings of the present invention. This computer implemented method provides an illustration of the operation of the present invention using the novel system of Figure 2. The computer implemented method includes a method of
20 locating information associated with an executable file. In Figure 3, a first server is queried for the location of information associated with a local file. In one embodiment, the first server is queried for the location of a second server containing information associated with a local file, e.g. an executable file at 310. In one embodiment, the first server is queried by the client as discussed in connection with
25 Figure 2. If the query to the first server does not result in the client receiving a set of information, then at 312 the flow chart returns to block 310 and a new query can be posed to the first server. The process can be repeated here until the client receives back from the first server the sought after information, or alternatively, a set of information regarding the location of a second server which does have the

information. Again, as explained in detail in connection with Figure 2, the system can be configured to query a number of different levels or multiple tiers in a hierarchy of a first set of servers. If, however, the query to the first server does result in the client receiving a set of information, e.g. reference locations or location information for the second server, then at 314 the flow chart proceeds to block 320. At block 320 the second server is queried for the information associated with the executable file using an appropriate syntax based on the location information received for the second server. According to the teachings of the present invention, querying a first server for a location of a second server includes providing a path to a lookup HTTP server as described above. As one of ordinary skill in the art will understand upon reading this disclosure, providing a path to a lookup HTTP server includes querying a DHCP server and requesting a number of URIs. The URIs are then used to compose an appropriate query to the second server. Querying the first server can also include querying a DNS server, and an ACAP or LDAP server using LDAP and ACAP database network queries, the invention is not so limited.

Figure 4, illustrates, in flow diagram form, a computerized method for locating information associated with a local file according to the teachings of the present invention. As shown in Figure 4, the method includes packaging metadata extracted from the local file into an HTTP request at 410. One of ordinary skill in the art will understand upon reading this disclosure the manner in which the metadata can be packaged into an HTTP request. As used in this application, by way of illustration, and not by way of limitation, metadata is defined to include any form of data identifier, such as a base name for a local file, a signature stamp, a time stamp, an age, etc., the same is not so limited. Thus, in one embodiment, as described above, a number of different values from an image header are extracted and packaged into the HTTP request. Additional examples of packaging metadata extracted from a local file into an HTTP request includes custom tailoring a query to extract over whatever is present in a back end store. That is, metadata can be packaged into a query which specifies a request to locate an updated version of the

local file, a request for locating a debug file associated with the local file, or even a request to locate a specific build version of the executable file. The packaged query can instruct the server to ignore or specifically search for a timestamp and/or signature. The invention is not so limited.

5 The HTTP request is then sent to a set of locator servers, or first set of servers containing location information for information associated with the local file at 420. As will be understood by one of ordinary skill in the art, the first set of servers will include means for interpreting metadata associated with an executable file received from a remote client. That is the first set of servers include logic
10 circuitry adapted to interpreting the metadata. The first set of servers can then provide a set of information back to the client in the form of either an HTTP redirect, or can connect the client directly to a server having the information associated with the local file. In either case, the method includes receiving a set of information back from the set of locator servers at 430.

15 Where the client is not directly connected to the sought after information, the set of location information is used to query an appropriate server having the information. In this case, at 440, the method includes packaging an HTTP query for retrieving the information associated with the local file based on the set of information received back from the set of local servers. As explained earlier, a
20 substitute query format other than HTTP can be used depending on the set of information received back from the set of local servers. That is, if the set of information received back from the set of locator servers indicates that the information associated with the local file is on an LDAP or ACAP server, then the appropriate protocol for an LDAP or ACAP database query will be used. Whatever
25 appropriate protocol is used, the method of packaging the query for retrieving information associated with the local file includes qualifying the query to select a specific file version from among the information associated with the local file. According to the present invention, the precision of querying the appropriate information is increased. Also, in one embodiment of the present invention, the

breadth of qualifying the query is increased due to the larger query format being employed. Thus, the query can include a wide range of information including path information, build date, version information, age, signatures, and time stamps, the same is not so limited. In one embodiment, some of the information in the query will be in the form of a number of unique identifiers obtained from the header of a local file. In other embodiments, the information in the query will consist of a user customized query to pinpoint specific qualifiers and/or restrictions for locating the information associated with the local file. Again, by way of illustration and not by way of limitation, a qualifier to the query can instruct the second server to ignore a particular piece of the query if it finds a conflict, or to ignore a certain type of file altogether, e.g. ignore .pdb files. As was the case for the set of locator servers, the second server, or set of servers is adapted to interpreting a query from a remote client for retrieving a specific file from among the information associated with the local file. That is, the second server includes logic circuitry which the second server applies to the query to determine exactly which unique file it is that the client is requesting.

Conclusion

Systems and methods have been described which extend the mechanism for locating solution access information and then obtaining and implementing the correct solution for updating software programs while in the development stage or in supporting programs in the field. According to the teachings of the present invention, a user can communicate with a symbol location server, tell it what the user is interested in, and then the symbol location server replies with either the desired information or location information on where to locate the desired information. Thus, the user no longer has to register, e.g. in the environment variables, the individual paths for where a multitude of different applications find their additional related information on the network. According to the teachings of the present invention, a user will have to make basically zero changes to the system,

and instead will automatically discover the name location of a server that is going to provide the user with the information associated with any user executable file.

Although specific embodiments have been illustrated and described herein, it will be appreciated by those of ordinary skill in the art that any arrangement which
5 is calculated to achieve the same purpose may be substituted for the specific embodiments shown. This application is intended to cover any adaptations or variations of the present invention. Therefore, is manifestly intended that this invention be limited only by the following claims and equivalents thereof.

009250 "E4004950

003250-0000950

We claim:

1. A computer implemented method comprising:
querying a first server for a location of a second server containing
information associated with an executable; and
querying the second server for the information associated with the
executable.
2. The method of claim 1, wherein querying a first server for a location of a
second server includes providing a path to a look up HyperText Transfer Protocol
(HTTP) symbol location server.
3. The method of claim 2, wherein querying a first server for a location of a
second server includes querying a Dynamic Host Configuration Protocol (DHCP)
server as the lookup HTTP server and requesting a number of Uniform Resource
Identifiers (URIs) for composing an appropriate query for querying the second
server for the information associated with the executable.
4. The method of claim 2, wherein querying a first server for a location of a
second server containing information associated with an executable includes
querying a Domain Name System (DNS) server as the lookup server for a service
(SRV) record identifying the second server to be queried.
5. The method of claim 2, wherein querying a first server for a location of a
second server containing information associated with an executable includes
querying a directory service to return the location of the second server.
6. The method of claim 1, wherein querying a first server for a location of a
second server containing information associated with an executable includes

querying an Application Configuration Access Protocol (ACAP) server for the location of the second server.

7. The method of claim 1, wherein querying a first server for a location of a second server containing information associated with an executable includes querying a Lightweight Directory Access Protocol (LDAP) server for the location of the second server.

8. A computer implemented method comprising:
querying a set of symbol location servers for a set of symbols associated with a local file; and
receiving the set of symbols from the set of symbol location servers.

9. The method of claim 8, wherein querying the set of servers for a set of symbols includes querying the set of servers with a unique identifier composed of different values from an image header extracted from a local file.

10. The method of claim 9, wherein the unique identifier composed of different values from an image header includes values which won't be replicated between differing versions of the local file.

11. The method of claim 8, wherein receiving a set of symbols includes receiving a set of files containing the symbols, wherein the files can be stored to a local system memory.

12. The method of claim 8, wherein querying a set of symbol location servers for a set of symbols associated with a local file includes querying a set of symbol location servers with a user customized query which can extract over a back end store.

13. A computer implemented method comprising:
querying a set of servers containing location information for a second server
having information associated with an executable; and
receiving a set of information from the set of servers.

14. The method of claim 13, wherein receiving a set of information includes receiving a set of reference locations on the second server which can be used to access a number of files on the second server associated with the executable.

15. The method of claim 13, wherein querying the set of servers includes querying a list of servers selected from the group consisting of a DHCP server, a DNS server, an ACAP server, and a LDAP server.

16. The method of claim 15, wherein querying the list of servers includes querying the list of servers in parallel.

17. The method of claim 15, wherein querying the list of servers includes querying the list of servers in a serial order.

18. The method of claim 13, wherein querying a set of servers containing location information for a second server having information associated with an executable includes packaging a set of information extracted from the executable into a HyperText Transfer Protocol (HTTP) request and sending the HTTP request to the set of servers.

19. A computer implemented method comprising:
 querying a first set of servers containing location information for a second
 server having information associated with an executable;

receiving the location information for the second server from the first set of servers; and

querying the second server for the information associated with the executable using a syntax based on the location information received for the second server.

20. The method of claim 19, wherein querying a first set of servers containing location information for a second server having information associated with an executable includes querying the first set of servers using metadata associated with the executable.

21. The method of claim 19, wherein querying the second server for the information associated with the executable includes querying the second server using metadata associated with the executable.

22. The method of claim 21, wherein the metadata includes metadata for a number of debug files.

23. The method of claim 21, wherein the metadata includes metadata for a number of source files.

24. The method of claim 19, wherein querying the second server for the information associated with the executable includes querying the second server for symbols associated with the executable file.

25. The method of claim 19, wherein querying the second server for the information associated with the executable includes querying the second server for regression analysis data associated with the executable file.

32. The method of claim 29, wherein packaging metadata extracted from the executable file into an HTTP request includes packaging metadata to locate a specific build version of the executable file.
33. The method of claim 29, wherein receiving a set of information back from the set of locator servers includes receiving an HTTP redirect to the information associated with the executable file.
34. The method of claim 29, wherein receiving a set of information back from the set of locator servers includes receiving a location of a server on which the information associated with the executable is located, and wherein the method further includes querying the server with a number of unique identifiers for the information associated with the executable file.
35. The method of claim 34, wherein querying the server with a number of unique identifiers for the information associated with the executable file further includes providing a number of additional qualifiers.
36. A computerized system, comprising:
a first server containing location information for information associated with a local file;
a second server containing the information associated with the local file;
a computer having a number of local files; and
wherein the first server provides a set of information on the second server to the computer.
37. The system of claim 36, wherein the set of information provided to the computer by the first server includes the location information for the second server which can be used by the computer to query the second server.

38. The system of claim 36, wherein the set of information provided to the computer by the first server includes the information associated with the local file.
39. The system of claim 36, wherein the computer can read the information associated with the local file directly from the second server.
40. The system of claim 36, wherein the first server includes a HyperText Transfer Protocol (HTTP) server.
41. The system of claim 40, wherein the HTTP server containing location information for information associated with a local file includes a Dynamic Host Configuration Protocol (DHCP) server having a number of Uniform Resource Identifiers (URIs) for querying the second server containing the information associated with the local file.
42. The system of claim 40, wherein the HTTP server containing location information for information associated with a local file includes a Domain Name System (DNS) server having a service (SRV) record identifying the second server containing the information associated with the local file.
43. The system of claim 40, wherein the HTTP server containing location information for information associated with a local file includes an HTTP server having a directory service adapted to provide the location information for information associated with the local file to the computer.
44. The system of claim 36, wherein the first server includes an Application Configuration Access Protocol (ACAP) server adapted to provide the set of information on the second server to the computer.

51. The system of claim 47, wherein the second server containing the information on the executable file includes information on at least one of the number of executable files on the computer.
52. The system of claim 47, wherein the computer is configured to query the second server, in an HTTP request format, for the information associated with the executable file using a number of qualifiers premised on at least one of the number of executable files on the computer.
53. The system of claim 47, wherein the query to the second server for the information associated with the executable file includes metadata extracted from the executable file.
54. The system of claim 53, wherein the metadata extracted from the executable file includes metadata for a debug file associated with the executable.
55. The system of claim 53, wherein the metadata extracted from the executable file includes metadata associated with regression analysis data for the executable file.
56. A computer readable medium having computer executable instructions to cause a computing system to perform a method comprising:
- using a lookup server to identify a set of location information for a server having information associated with an executable file, based on metadata extracted from the executable file; and
 - packaging an HTTP query for retrieving the information associated with the executable file.

57. The method of claim 56, wherein using the lookup server to identify a set of location information for a server having information associated with an executable file includes providing a response to a requesting client from the lookup server.

58. The method of claim 57, wherein providing a response to a requesting client includes returning the set of location information on the server having information associated with an executable file to the requesting client as an HTTP redirect.

59. A method for locating information associated with a local file comprising:
packaging metadata extracted from the local file into an HTTP request;
sending the HTTP request to a set of locator servers containing location information for information associated with the local file;
receiving a set of information back from the set of locator servers; and
packaging an HTTP query for retrieving the information associated with the local file based on the set of information received back from the set of locator servers.

60. The method of claim 59, wherein packaging an HTTP query for retrieving information associated with the local file further includes qualifying the HTTP query to select a specific file version from among information associated with the local file.

61. The method of claim 60, wherein qualifying the HTTP query to select a specific file version from among the information associated with the local file includes qualifying the HTTP query to select an updated file version of an executable file.

62. The method of claim 60, wherein qualifying the HTTP query to select a specific file version from among the information associated with the local file

ABSTRACT OF THE DISCLOSURE

This present invention extends the mechanism for locating solution access information and then obtaining and implementing the correct solution for updating software programs. The user can communicate with one system on the network, tell
5 it what the user is interested in, and then the system replies on a file by file basis where to locate the desired information. Thus, the user no longer has to register, e.g. in the environment variables, the individual paths for where a multitude of different applications find their additional related information on the network. According to the teachings of the present invention, a user will have to make
10 basically zero changes to the system, and instead will automatically discover the name location of a server that is going to provide the user with the information associated with any user executable file.

In particular, one embodiment of the present invention includes a computer implemented method. The method includes querying a first server for a location of
15 a second server containing information associated with a local file. The method further includes querying the second server for the information associated with the local file. The second server then provides the information associated with the executable. Other systems and methods are included within the scope of the present invention.

20

"Express Mail" mailing label number: EL709305675US

Date of Deposit: September 26, 2000

This paper or fee is being deposited on the date indicated above with the United States Postal Service pursuant
25 to 37 CFR 1.10, and is addressed to the Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

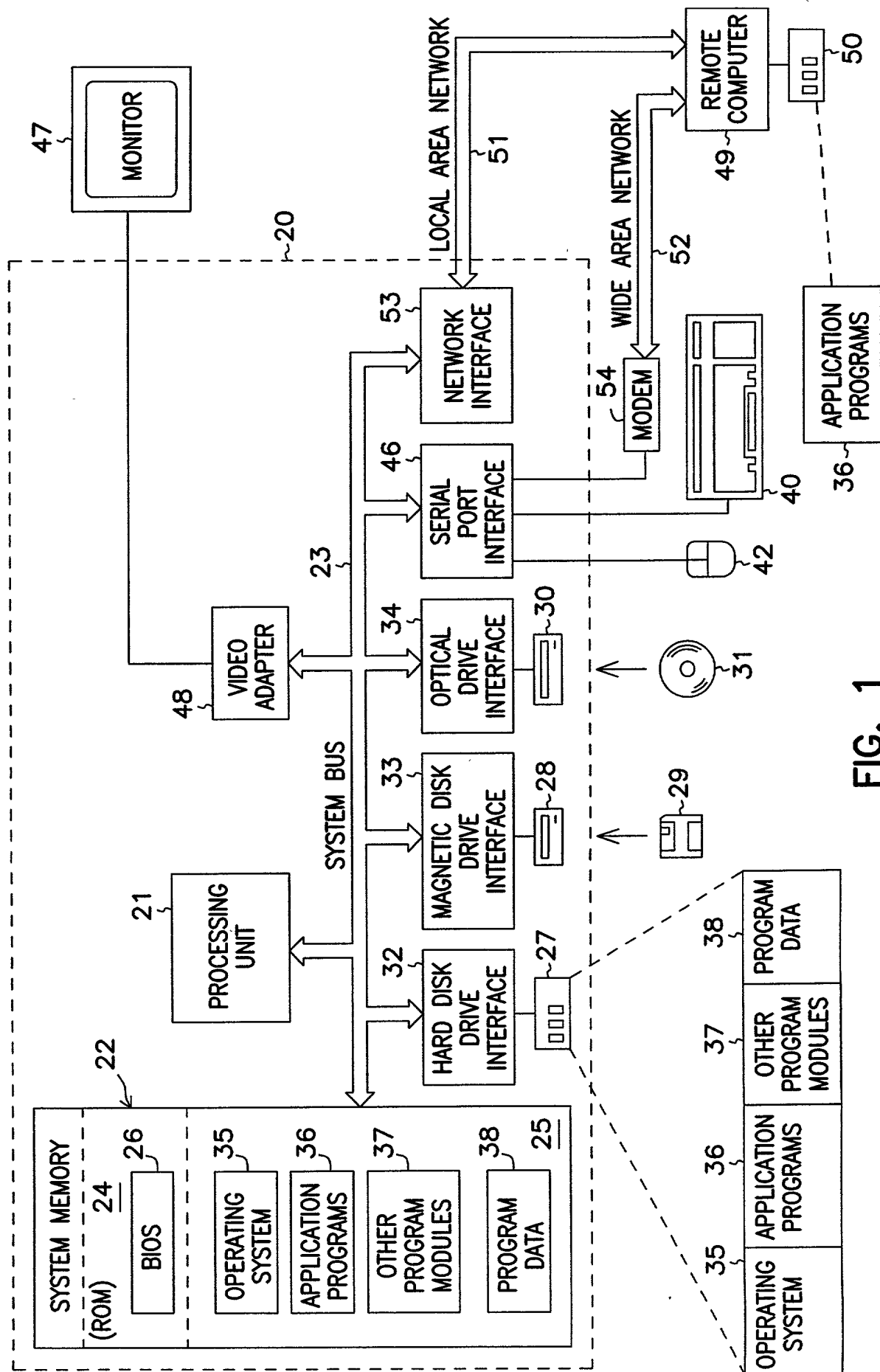


FIG. 1

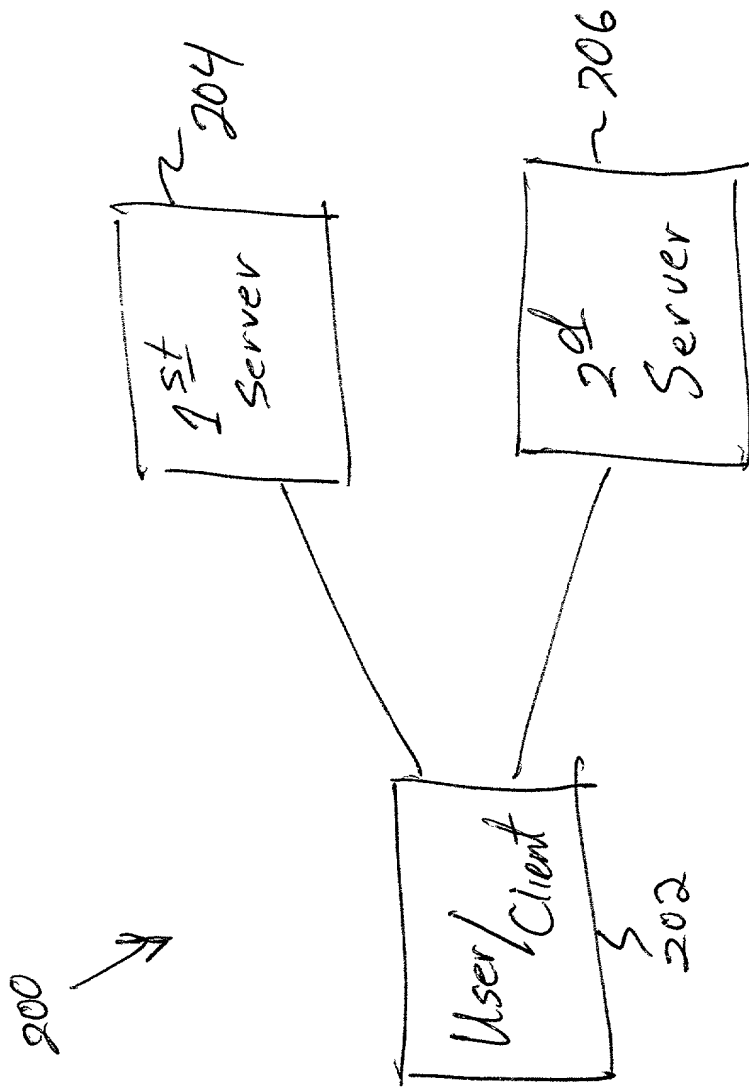
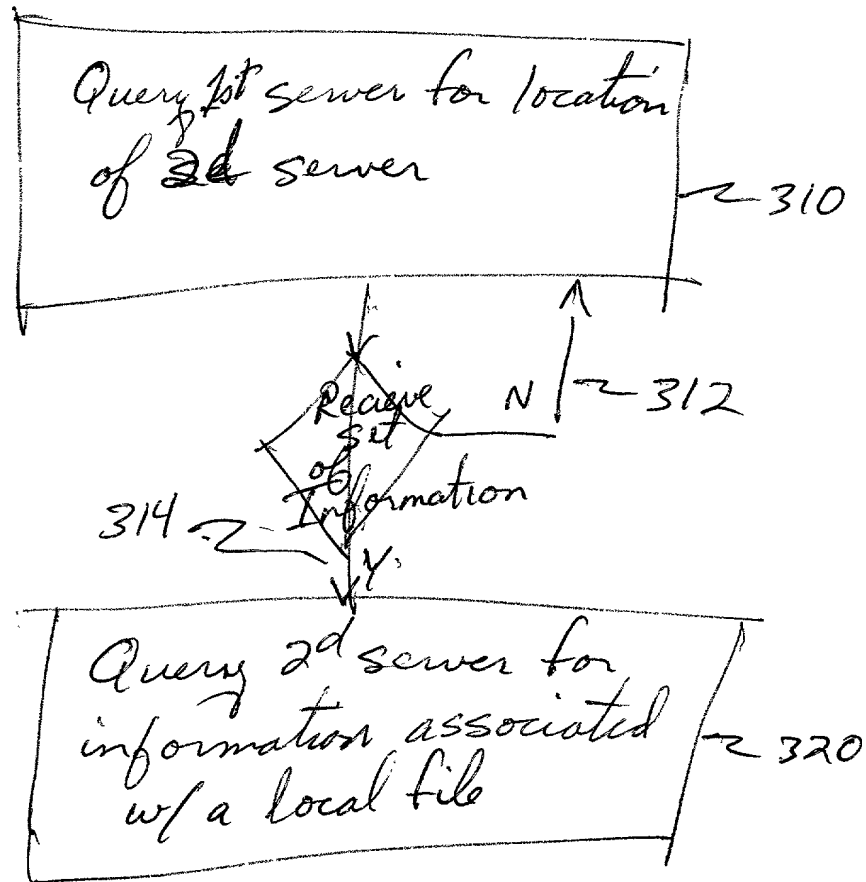


FIG. 2

[illegible]

F16.3

09670073-092600

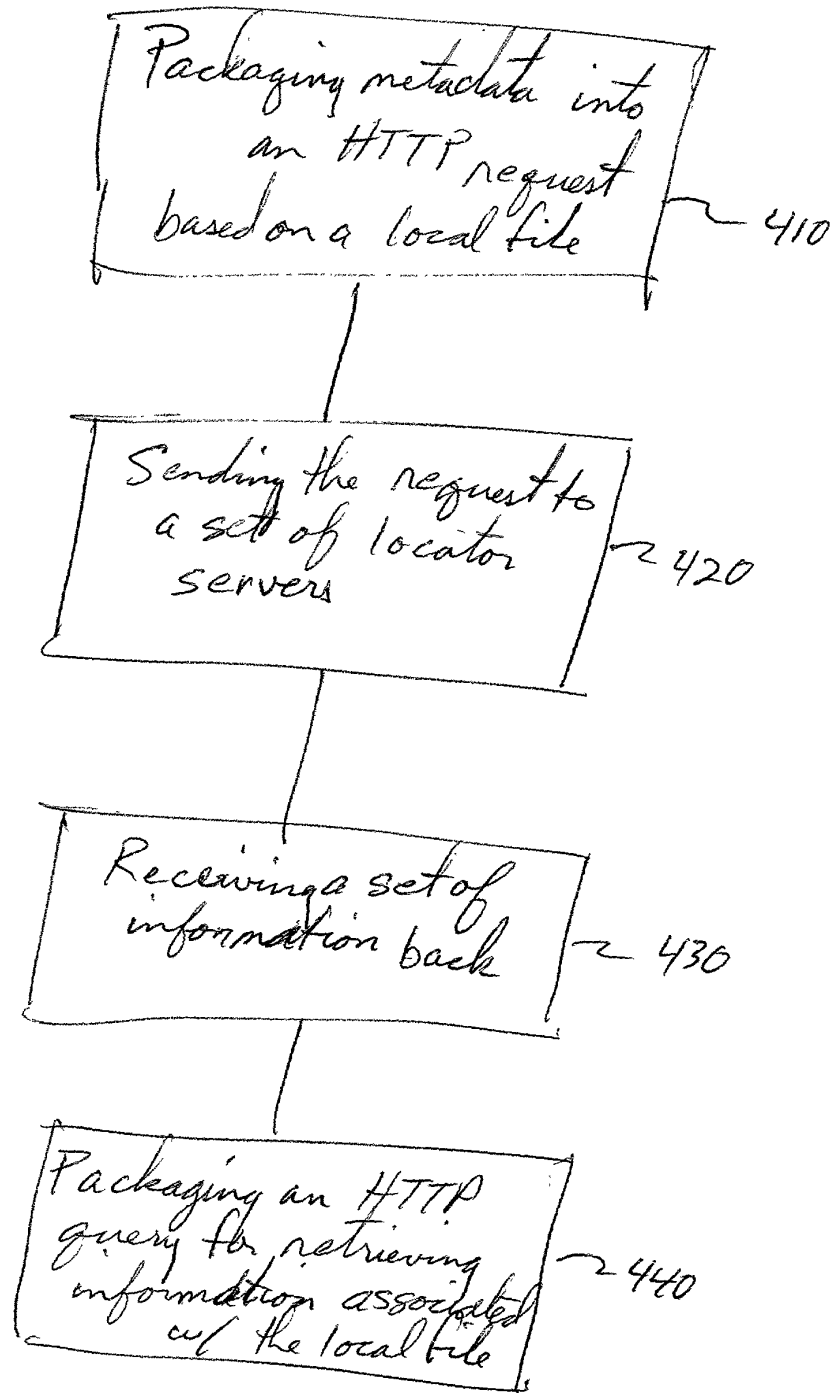


FIG. 4

SCHWEGMAN ■ LUNDBERG ■ WOESSNER ■ KLUTH

United States Patent Application

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: **INFORMATION LOCATION SERVICE**.

The specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with 37 C.F.R. § 1.56 (attached hereto). I also acknowledge my duty to disclose all information known to be material to patentability which became available between a filing date of a prior application and the national or PCT international filing date in the event this is a Continuation-In-Part application in accordance with 37 C.F.R. § 1.63(e).

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

No such claim for priority is being made at this time.

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

No such claim for priority is being made at this time.

I hereby claim the benefit under 35 U.S.C. § 120 or 365(c) of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. § 1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

No such claim for priority is being made at this time.

I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

Anglin, J. Michael	Reg. No. 24,916	Huebsch, Joseph C.	Reg. No. 42,673	Nielsen, Walter W.	Reg. No. 25,539
Bianchi, Timothy E.	Reg. No. 39,610	Jurkovich, Patti J.	Reg. No. 44,813	Oh, Allen J.	Reg. No. 42,047
Billion, Richard E.	Reg. No. 32,836	Kalis, Janal M.	Reg. No. 37,650	Padys, Danny J.	Reg. No. 35,635
Black, David W.	Reg. No. 42,331	Kaufmann, John D.	Reg. No. 24,017	Parker, J. Kevin	Reg. No. 33,024
Brennan, Leoniede M.	Reg. No. 35,832	Klima-Silberg, Catherine I.	Reg. No. 40,052	Perdok, Monique M.	Reg. No. 42,989
Brennan, Thomas F.	Reg. No. 35,075	Kluth, Daniel J.	Reg. No. 32,146	Prout, William F.	Reg. No. 33,995
Brooks, Edward J., III	Reg. No. 40,925	Lacy, Rodney L.	Reg. No. 41,136	Sako, Katie E.	Reg. No. 32,628
Chu, Dinh C.P.	Reg. No. 41,676	Lemaire, Charles A.	Reg. No. 36,198	Schumm, Sherry W.	Reg. No. 39,422
Clark, Barbara J.	Reg. No. 38,107	LeMoine, Dana B.	Reg. No. 40,062	Schwegman, Micheal L.	Reg. No. 25,816
Clise, Timothy B.	Reg. No. 40,957	Lundberg, Steven W.	Reg. No. 30,568	Scott, John C.	Reg. No. 38,613
Crouse, Daniel D.	Reg. No. 32,022	Maeyaert, Paul L.	Reg. No. 40,076	Smith, Michael G.	Reg. No. 45,368
Dahl, John M.	Reg. No. 44,639	Maki, Peter C.	Reg. No. 42,832	Speier, Gary J.	Reg. No. 45,458
Drake, Eduardo E.	Reg. No. 40,594	Malen, Peter L.	Reg. No. 44,894	Steffey, Charles E.	Reg. No. 25,179
Embretson, Janet E.	Reg. No. 39,665	Mates, Robert E.	Reg. No. 35,271	Terry, Kathleen R.	Reg. No. 31,884
Fordenbacher, Paul J.	Reg. No. 42,546	McCrackin, Ann M.	Reg. No. 42,858	Tong, Viet V.	Reg. No. 45,416
Forrest, Bradley A.	Reg. No. 30,837	Moore, Charles L., Jr.	Reg. No. 33,742	Viksnins, Ann S.	Reg. No. 37,748
Gamon, Owen J.	Reg. No. 36,143	Nama, Kash	Reg. No. 44,255	Woessner, Warren D.	Reg. No. 30,440
Harris, Robert J.	Reg. No. 37,346	Nelson, Albin J.	Reg. No. 28,650		

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization/who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Schwegman, Lundberg, Woessner & Kluth, P.A. to the contrary.

Please direct all correspondence in this case to **Schwegman, Lundberg, Woessner & Kluth, P.A.** at the address indicated below:
P.O. Box 2938, Minneapolis, MN 55402
Telephone No. (612)373-6900

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of joint inventor number 1 : **Richard M. Shupak**
Citizenship: **United States of America** Residence: **Bellevue, WA**
Post Office Address: **372 145th Place SE**
Bellevue, WA 98007

Signature: _____ Date: _____
Richard M. Shupak

Full Name of joint inventor number 2 : **Barbara L. Kess**
Citizenship: **United States of America** Residence: **Redmond, WA**
Post Office Address: **1527 233rd Place NE**
Redmond, WA 98053

Signature: _____ Date: _____
Barbara L. Kess

☒ Additional inventors are being named on separately numbered sheets, attached hereto.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of joint inventor number 3 : **Simon M. Meacham**
Citizenship: **Great Britain**
Post Office Address: **4418 Woodlawn Avenue North
Seattle, WA 98103**

Residence: **Seattle, WA**

Signature: _____

Date: _____

Simon M. Meacham

Full Name of joint inventor number 4 : **Pat Styles**
Citizenship: **United States of America**
Post Office Address: **1719 13th Avenue
Seattle, WA 98122**

Residence: **Seattle, WA**

Signature: _____

Date: _____

Pat Styles

Full Name of inventor:
Citizenship:
Post Office Address:

Residence:

Signature: _____

Date: _____

Full Name of inventor:
Citizenship:
Post Office Address:

Residence:

Signature: _____

Date: _____

§ 1.56 Duty to disclose information material to patentability.

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
- (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) Each inventor named in the application;
- (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.